



Reference Guide to Regulatory Compliance, 17th edition

New 2007 Edition!

The ***Reference Guide to Regulatory Compliance*** is an ideal resource for compliance managers, department managers and staff, product managers and retail branch banking managers. And it is a recommended study resource for individuals preparing for the Certified Regulatory Compliance Manager Exam (CRCM).

Developed as an extended outline, the Guide covers federal regulations, consumer legislation and all the rules you need to know to meet the demands of today's compliance functions: Deposits, Lending, Bank Secrecy Act/Anti-Money Laundering, Bank Operations, Community Reinvestment Act/Home Mortgage Disclosure Act, Privacy, Securities, Insurance, and Other Financial Services, and much more.

The Guide contains pertinent regulatory citations, suggestions on setting up a compliance risk management program and sample consumer disclosures. For those pursuing the CRCM designation, it also includes self-study and review questions and answers, and a cross-reference of book section titles and CRCM exam topics.

New sections on:

- Interagency Guidance on Nontraditional Mortgage Product Risks
- Joint Guidance on Overdraft Protection Programs
- Unfair or Deceptive Credit Card Practices
- Disclosure of CRA-related Agreements
- Prohibition against use of Interstate branches for deposit production
- Guidance on Authentication in an Internet Banking Environment
- Restrictions on Marketing Practices

Sample Updated and Revised sections:

- Homeownership Counseling – More information on notifications to customers and sample HUD Servicemembers Civil Relief Act Notice
- Electronic Fund Transfer Act - Information on consumer disclosures for POS transactions
- FDIC Insurance – Updates on coverage amounts and changes to the general rules
- Americans with Disabilities – information for Title I and Title III on such issues as prohibition on smoking
- IRS Rules – Changes in amounts and or requirements for mortgage reporting, foreclosures, W-9s, discharges of debt, and information reporting
- BSA – More information on the Interagency examination procedures of July 2006
- CRA – Notice that OTS modified its regulations to be in line with other federal banking agencies
- Information on the proposal to amend Regulation R regarding retail nondeposit investments.

The ***Reference Guide to Regulatory Compliance*** supports the [ABA Online Review Course for the CRCM Examination](#) and is a recommended study resource for the [Certified Regulatory Compliance Manager \(CRCM\) exam](#).

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