

Compliance is one of the most crucial and consuming issues in the financial services industry today. A strong understanding of the continually shifting landscape is essential. Regulatory agencies are making it clear through their examinations that no institution can afford to be without a comprehensive, well-managed compliance program. Your compliance officer and staff need the tools, resources and training in order to tackle this task.

Want to focus on a certain topic? Register for one section, or the entire school!

The purpose of this school is to provide students with the knowledge and understanding of laws and regulations that impact lending practices, deposit functions, marketing, and management by:

- Understanding federal laws and regulations
- Fine-tuning management skills with effective techniques for compliance administration
- Instruction geared towards exposing participants to industry practices and expert opinions

CONTINUING EDUCATION

28.5 CRCM American Bankers Association (ABA) Professional Certifications have been approved for the entire 2023 ABA Compliance School.

Who We Are

Compliance Alliance, an ABA endorsed vendor, is the only banking industry compliance resource that is owned, operated, and managed by State Bankers Associations. Compliance Alliance experts provide members an all-inclusive set of bank compliance tools and services that help bankers stay up to date with requirements.

ABOUT THE INSTRUCTORS



Elizabeth Madlem

Vice President of Compliance Operations & Deputy General Counsel

Elizabeth has industry expertise and real-world solutions surrounding bank-enterprise initiatives and knowledge of contract law and bank regulatory compliance. As the Vice President of Compliance Operations, Elizabeth oversees C/A's day-to-day operations of the Hotline, as well as leading our Education initiatives. Elizabeth plays an important part in all operational areas of C/A.



Julia A. Gutierrez

Director of Education

Julia brings over 20 years of financial industry experience to the Compliance Alliance team. Her compliance knowledge and understanding derive from her combined experience in community banks and consulting. Ms. Gutierrez served as a Risk Management and BSA Officer, assisted in the development of an enterprise-wide risk management and compliance program for a de novo institution, assisted in the compliance remediation efforts for an institution who was referred to the DOJ, held positions in retail and branch management, and was a Senior Compliance Advisor for a large regulatory compliance consulting firm.

VIRTUAL 2023 Compliance SCHOOL

May 22 - 25, 2023



(501) 376-3741 • www.arkbankers.org

TOPICS OF

DISCUSSION

This comprehensive four-day school, including an advanced session, will cover lending, deposits, operations and BSA topics to give a well-rounded view of the compliance landscape. Attendees will have extensive opportunities to connect with the speakers and network and discuss common issues with their community banking peers.

REGISTRATION AND PRICING

ABA MEMBERS

Entire School: \$1,360; After February 27: \$1,460
Lending Compliance: \$680; After February 27: \$780
Advanced Compliance: \$340; After February 27: \$390
Deposit Compliance: \$340; After February 27: \$390

NON-MEMBERS

Entire School: \$2,720; After February 27: \$2,920
Lending Compliance: \$1,360; After February 27: \$1,560
Advanced & Deposit Compliance: \$680; After February 27: \$780

VIRTUAL LIVE FORMAT

Attendees will need Internet access and a standard web browser to join this video and web conferencing. They will receive an email with a link to join the virtual meeting, handouts, and any additional information a few days before the event.

You do not need your own Zoom account. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the Zoom app on your smart device.
- Internet access
- Audio on computer or a phone line

May 22 & 23

8:30 A.M. – 4:30 P.M.

MODULE 1: CONSUMER LENDING & REAL ESTATE LENDING COMPLIANCE

TOPICS

- Reg B
- FCRA, FACTA
- Reg O
- CRA
- TRID
- RESPA
- Reg Z
- HMDA
- Consumer Lending – Non-Real Estate
- Lending to Servicemembers
- Fair Lending
- FCRA & FACT Act
- Mortgage Serving Rules
- Flood Rules
- Mortgage Loan Originator Rules & the SAFE Act

May 24 & 25

8:30 A.M. – 4:30 P.M.

MODULE 2: ADVANCE COMPLIANCE & DEPOSIT COMPLIANCE & BSA

TOPICS

- 2023 Hot Topics
- E-Sign
- UDAAP
- Reg D & DD
- Reg E - Fraud
- Reg CC
- Risk Assessments & Mitigation
- Compliance Management Systems
- Complaint Management
- Privacy - Reg P & RFPA
- BSA / AML Overview
- BSA Today



CONTACT US

Professional Development Department
(501) 376-3741
kami.coleman@arkbankers.org



WHO SHOULD ATTEND

Compliance Officers, Internal Audit staff, and any employee who assists with Compliance Management



WHERE

Virtual (Zoom)



WHEN

May 22 - 25, 2023
8:30 AM - 4:30 PM